

07-20-01

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Practitioner's Docket No. 892\_019

PATENT

## NEW APPLICATION TRANSMITTAL

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on July 19, 2001 under "EXPRESS MAIL" mailing label number **EL 803164305 US.**

Gina M. Husak

*Gina M. Husak*

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

Transmitted herewith for filing is the patent application of:

Inventor 1: Akira WAKABAYASHI  
Address: c/o Tsubasa System Co., Ltd.  
25-14, Kameido 2-chome, Koutou-ku  
Tokyo, JAPAN

Title: ONLINE TRADING SYSTEM

## 1. Papers enclosed

## A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 21 Page(s) of Specification
- 4 Page(s) of Claims
- 1 Page(s) of Abstract
- 4 Sheets of Formal Drawings (Figs. 1-4)

## B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☒ A Preliminary Amendment
- ☒ A combined Declaration and Power of Attorney
- ☒ A Claim for Priority and a certified copy of 2000-233266 filed August 1, 2000 in Japan
- ☐ An Information Disclosure Statement
- ☐ PTO Form-1449
- ☒ An Assignment Transmittal and Assignment of the invention to Tsubasa System Co., Ltd.

## 2. The correspondence address for this application is:

Stephen P. Burr  
Burr & Brown  
P.O. Box 7068  
Syracuse, New York 13261-7068

Telephone: (315) 233-8300  
Facsimile: (315) 233-8320

Customer No.: 025191

## 3. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

- ☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

07/19/01  
jcs971 U.S. PTO  
09/908945

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07/19/01

09908945-071901

☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

#### 4. Small Entity Status

☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

#### 5. The filing fee has been calculated as shown below:

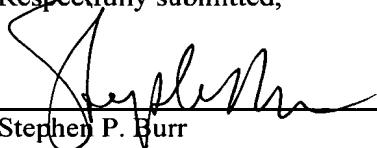
|    |   |                  |
|----|---|------------------|
| A. | Filing Fee  |                  |
|    | <input checked="" type="checkbox"/> Original Patent Application       | \$ <u>710.00</u> |
|    | <small>(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)</small> |                  |
| B. | Fees for Claims (9 Claims; 3 Indep.)                                  |                  |
|    | <input type="checkbox"/> each independent claim in excess of 3        | \$ <u>.00</u>    |
|    | <small>(37 C.F.R. 1.16(b)--\$80.00; small entity--\$40.00)</small>    |                  |
|    | <input type="checkbox"/> each claim in excess of 20                   | \$ <u>.00</u>    |
|    | <small>(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)</small>     |                  |
|    | <input type="checkbox"/> multiple dependent claim(s)                  | \$ <u>.00</u>    |
|    | <small>(37 C.F.R. 1.16(d)--\$270.00; small entity--\$135.00)</small>  |                  |
| C. | <input checked="" type="checkbox"/> Assignment Fee                    | \$ <u>40.00</u>  |
|    | <b>Total Fees Due</b>   | <b>\$ 750.00</b> |

#### 6. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

- ☒ A check in the amount of **\$750.00** is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

July 19, 2001  
Date

  
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Stephen P. Burr  
Reg. No. 32,970

SPB/gmh

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 025191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320